

STABILITY OF HELICAL TEXTURES IN  $^3\text{He-A}$  IN THE PRESENCE OF SUPERFLOWH. KLEINERT<sup>1</sup>, Y.R. LIN-LIU and Kazumi MAKI*Department of Physics, University of Southern California, Los Angeles, CA 90007, USA*

Received 24 October 1978

We analyze the stability of a variety of uniform textures in superfluid  $^3\text{He-A}$  in the presence of superflow  $p$ . We find below  $T_{\text{ins}}$  a class of stable helical  $\hat{l}$  textures, where  $\hat{l}$  is no longer aligned with  $p$  but winds around it with a constant pitch.

In a recent letter Bhattacharyya et al. [1] analyzed the stability of uniform texture in the presence of uniform superflow and showed that the uniform texture with the gap anisotropy axis  $\hat{l}$  parallel to the superflow, though stable near  $T = T_c$ , becomes unstable in the dipole-locked limit at low temperatures, where

$$\kappa [\equiv K_b \rho_0 (\frac{1}{2} \rho_s'' + c_0)^{-2}] < 1, \quad (1)$$

and  $K_b$ ,  $\rho_0$ ,  $\rho_s$  and  $c_0$  are coefficients in the texture free energy (see eq. (2)). The purpose of this letter is to determine the stable (uniform) texture in the region  $\kappa < 1$ .

We will limit ourselves in the following to only the  $z$ -dependent textures, where  $z$  is the direction of the superflow velocity. The free energy of  $^3\text{He-A}$  in the dipole-locked limit is given [2,3] as

$$\begin{aligned} f = \frac{1}{2} \int dz \{ & (\rho_s - \rho_0 \cos^2 \beta)(\alpha_z + \cos \beta \gamma_z)^2 \\ & - 2c_0(\alpha_z + \cos \beta \gamma_z) \cos \beta \sin^2 \beta \gamma_z \\ & + (K_b \cos^2 \beta + K_s \sin^2 \beta) \beta_z^2 \\ & + (K_b \cos^2 \beta + K_t \sin^2 \beta) \sin^2 \beta \gamma_z^2 \}, \quad (2) \end{aligned}$$

where the coefficients  $\rho_s$ ,  $\rho_0$ , etc., are introduced by Mermin-Ho [2]. Here  $\hat{l}$  and  $\hat{\Delta}$  are parameterized as

$$\begin{aligned} \hat{l} &= (\sin \beta \cos \gamma, \sin \beta \sin \gamma, \cos \beta), \\ \hat{\Delta} &= e^{-i\alpha} (-\sin \gamma - i \cos \beta \cos \gamma, \\ & \cos \gamma - i \cos \beta \sin \gamma, i \sin \beta), \quad (3) \end{aligned}$$

and  $\alpha$ ,  $\beta$ ,  $\gamma$  are the eulerian angles, which describe the spatial orientation of  $\hat{\Delta}$ .

Since  $\alpha$  is a cyclic coordinate, the  $z$  component of superflow  $p$  is completely uniform and can be used to eliminate  $\alpha_z$  from  $f$ , by

$$\begin{aligned} \partial f / \partial \alpha_z \equiv p &= (\rho_s - \rho_0 \cos^2 \beta)(\alpha_z + \cos \beta \gamma_z) \\ & - c_0 \sin^2 \beta \cos \beta \gamma_z. \quad (4) \end{aligned}$$

Then we have

$$\begin{aligned} g = f - \int p \alpha_z dz &= \frac{1}{2} \int dz \{ B(s) \beta_z^2 \\ & + G(s) \gamma_z^2 - A(s)^{-1} p^2 + 2pH(s) \gamma_z \}, \quad (5) \end{aligned}$$

where

$$\begin{aligned} A(s) &= \rho_s'' + \rho_0 s, \quad B(s) = K_b(1-s) + K_s s, \\ G(s) &= \{ K_b(1-s) + K_t s - c_0^2 A^{-1} s(1-s) \} s, \\ H(s) &= (1 - c_0 A^{-1} s)(1-s)^{1/2}, \quad (6) \end{aligned}$$

and  $s = \sin^2 \beta$ .

The dynamics of  $\hat{l}$  is determined by the Cross-Anderson equation [4]

<sup>1</sup> Permanent address: Institut für Theoretische Physik, Freie Universität Berlin, Arnimallee 3, 1 Berlin.

$$-\mu \sin^2 \beta \gamma_t = \delta g / \delta \gamma, \quad -\mu \beta_t = \delta g / \delta \beta, \quad (7a, b)$$

where  $\mu$  is the orbital viscosity.

First let us find stationary solutions, which satisfy  $\gamma_t = \beta_t = 0$  (i.e., the static  $\hat{l}$  texture).  $\delta g / \delta \gamma = 0$  is automatically satisfied for any uniform texture with constant  $\beta$  and  $\gamma_z$ , while  $\delta g / \delta \beta = 0$  allows in addition to the trivial solution with  $\beta = 0$  (we call this texture I), other solutions for nonvanishing  $\beta$ . For these solutions  $\gamma_z$  is the function of  $\beta$  as given by

$$(\gamma_z)_\pm / p = (G')^{-1}(-H' \pm \sqrt{\Delta}), \quad (8)$$

$$\Delta = (H')^2 + G'(A^{-1}'),$$

where the prime means the derivative in  $s$ . For  $\Delta > 0$ , we have stationary solutions with real  $(\gamma_z)_\pm$ . In particular  $\Delta$  can be calculated for  $s = 0$  and  $s = 1$  as

$$\Delta(0) = (\frac{1}{2} + c_0 / \rho_s'')^2 (1 - \kappa),$$

$$\lim_{s \rightarrow 1} (1 - s) \Delta(s) = \frac{1}{4} (1 - c_0 \rho_s''^{-1})^2. \quad (9)$$

From these we can conclude that for  $\kappa > 1$ , there is only one stationary region III near  $s = 1$  ( $\beta_2 < \beta < \pi/2$ ), while for  $\kappa < 1$ , there appears another stationary region II near  $s = 0$  ( $0 < \beta < \beta_1$ ). These stationary regions are shown schematically in fig. 1, where  $\kappa = 1$  corresponds to the point  $\epsilon = 0.1$ . Two threshold values of  $\beta$  ( $\beta_1$  and  $\beta_2$ ) are determined by

$$\Delta = 0. \quad (10)$$

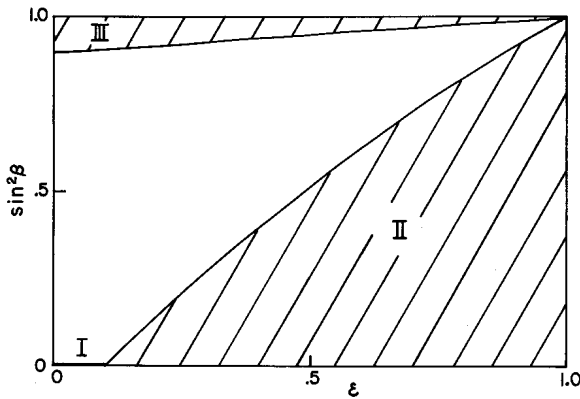


Fig. 1. The three regions (I, II, and III) where stationary solutions ( $\delta g / \delta \gamma = 0$ ,  $\delta g / \delta \beta = 0$ ) exist are shown.  $\epsilon = 0, 0.1$ , and 1 corresponds to  $T = T_c, T_{ins}$ , and 0, respectively.

In particular in the vicinity of  $\kappa = 1$ ,  $\beta_1$  is given by

$$\sin^2 \beta_1 = (1 - \kappa) / (2U) + O(1 - \kappa)^2, \quad (11)$$

where

$$U = \rho_0 / \rho_s'' + K_t / K_b - c_0^2 / (\rho_s'' K_b) - (\rho_0 + \frac{3}{4} \rho_s'') \cdot (\frac{1}{2} \rho_s'' + c_0)^{-1}. \quad (12)$$

Secondly, we have tested the stability of these solutions against small perturbations; assuming that  $\gamma_z = c + \delta \gamma_z$  and  $\beta = \beta_0 + \delta \beta$ , eqs. (7a) and (7b) are solved for  $\delta \gamma_z, \delta \beta \propto e^{-\lambda t + i k z}$ , where  $c$  is  $(\gamma_z)_\pm$  given in eq. (8) as functions of  $\beta_0$ .  $\lambda > 0$  implies the stability of the solution.  $\lambda$  is easily found to be

$$\lambda_\pm = \mu^{-1} \{ (B + Gs^{-1}) k^2 + L \pm [ (B + Gs^{-1}) k^2 + L ]^2 - 4BGs^{-1} k^4 - 4Dk^2 ]^{1/2} \}, \quad (13)$$

where

$$L = 2s(1 - s) [ G''(s) c^2 + 2pH''c - (A^{-1})'' p^2 ],$$

$$D = GL - 4s(1 - s) p^2 (H'^2 + G'(A^{-1}')). \quad (14)$$

Since we have  $B, G, A > 0$ , the stability criteria are

$$L > 0, \quad D > 0. \quad (15)$$

The second condition can be rewritten as

$$K = \left( \frac{\partial^2 g}{\partial \beta^2} \right) \left( \frac{\partial^2 g}{\partial \gamma_z^2} \right) - \left( \frac{\partial^2 g}{\partial \beta \partial \gamma_z} \right)^2 > 0, \quad (16)$$

where  $K$  is the gaussian curvature of  $g$  in the  $\beta$ - $\gamma_z$  space. In the light of the stability criteria, we find

(a) The region I ( $\beta = 0$ ) is stable only for  $\kappa > 1$  and becomes unstable for  $\kappa < 1$ .

(b) There are two distinct stable branches in the region II,  $II_+$  ( $\beta_+ < \beta < \beta_1$ ) and  $II_-$  ( $\beta_- < \beta < \beta_1$ ) with  $\gamma_z = (\gamma_z(\beta))_+$  and  $(\gamma_z(\beta))_-$ , respectively, for  $\kappa < 1$ .

(c) The region III is never stable.

The result (a) confirms earlier analysis [1,5]<sup>†1</sup>. On the other hand for  $\kappa < 1$  (which will be realized at low temperatures [5]), there appear new stable textures, where the  $\hat{l}$  vector winds around the  $z$ -axis in a form of helix.

We have studied these helical solutions in greater

<sup>†1</sup> See footnote on next page.

detail within a simplified model where only  $\rho_0$  deviates significantly from the  $G-L$  values

$$(1 - \epsilon)^{-1} \rho_0 = \rho_s'' = c_0 = \frac{2}{5} K_{t,b,s}, \quad (17)$$

where  $\epsilon = 0$  corresponds to the  $G-L$  limit. Within this model  $\beta_1$  and  $\beta_2$  are given exactly by

$$\sin^2 \beta_{1,2}(\epsilon) = \{3 + 6\epsilon \mp (1 - \epsilon)\sqrt{17 - 10\epsilon}\} (8 + \epsilon^2)^{-1}, \quad (18)$$

which is shown in fig. 1. In fig. 1, the shaded areas are three regions of stationary solutions.  $\epsilon$  may be considered as a parameter scaled with the temperature;  $\epsilon = 0$  at  $T = T_c$ ,  $\epsilon = 0.1$  at  $T = T_{ins}$  and  $\epsilon = 1$  at  $T = 0$ , where  $T_{ins}$  is the instability temperature ( $\kappa = 1$ ), where the parallel  $\hat{l}$  texture to the superflow becomes unstable. Making use of the stability criteria (15), the stable regions  $II_+$  and  $II_-$  are determined numerically within the same model and shown in fig. 2. The corresponding  $c = (\gamma_z^0)_\pm$  are also shown in the same figure. We

<sup>†1</sup> In refs. [1] and [5] the stability is analyzed around a constant  $\alpha_z$ . In this case general texture fluctuation is described in terms of  $\delta\alpha_z$ ,  $\delta\beta$ , and  $\delta\gamma_z$ . However, it is shown that  $\delta f = \frac{1}{2} A(s)(\delta p)^2 + \delta g$ , where  $\delta f$ ,  $\delta p$ , and  $\delta g$  are the fluctuation in  $f$ ,  $p$  and  $g$ , respectively. Furthermore,  $\delta p$  is a linear combination of  $\delta\alpha_z$ ,  $\delta\beta$ , and  $\delta\gamma_z$  (see eq. (4)). Therefore the stability region is independent of whether  $p$  is fixed or not fixed. In the case of the parallel alignment the situation with fixed  $p$  is equivalent to that with fixed  $v_s$ , the superfluid velocity. However, in a more general context these two conditions are not necessarily equivalent.

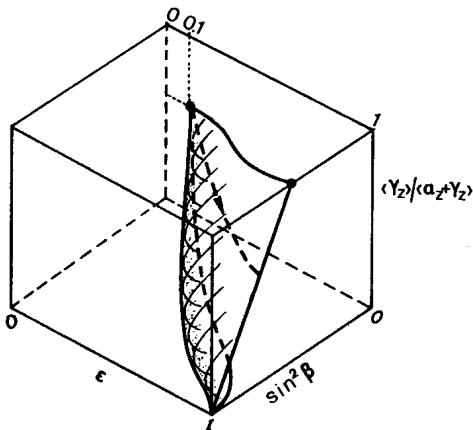


Fig. 2. The stable region of the helical textures is shown. The helical texture appears for all temperature below  $T_{ins}$  ( $\epsilon = 0.1$ ). The broken curve with an arrow indicates the helical texture with  $\langle \gamma_z \rangle / \langle \alpha_z + \gamma_z \rangle = 3/5$ .

note that two regions  $II_+$  and  $II_-$  form a continuous sheet in this presentation. In the limit  $\kappa$  approaches 1, the stability regions shrink linearly, both  $\beta_+(\epsilon)$  and  $\beta_-(\epsilon)$  converging towards  $\beta_1(\epsilon)$ . In this limit the unique value of  $\beta_1(\epsilon)$  has been given already in eq. (11), without our simplifying assumption (18). For the model (17),

$$U = \frac{2}{5} + O(\kappa - 1) \text{ implying } \sin^2 \beta = \frac{5}{4} (1 - \kappa). \quad (19)$$

When  $T < T_{ins}$ , as shown in fig. 2, we have a class of helical textures with a constant tilt angle  $\beta$  and the corresponding pitch  $\gamma_z(\beta)$ , which are locally stable. If liquid  $^3\text{He-A}$  in a long container is cooled slowly through  $T = T_{ins}$ , the resulting helical  $\hat{l}$  texture should be the one corresponding with the pitch  $\gamma_z$  given at the point  $\kappa = 1$ ;  $\gamma_z = (\gamma_z)_1 \equiv \frac{1}{2} p(\rho_s'' + 2c_0)/(K_b \rho_s'')$ . This is because just above  $T_{ins}$ , the thermal fluctuation gives rise to distribution of  $\gamma_z$ ,

$$P(\gamma_z) \propto \exp\{-(K_b/2KT)\langle s \rangle V[\gamma_z - (\gamma_z)_1]^2\},$$

where  $T$ ,  $V$ , and  $\langle s \rangle$  are the temperature, the volume of the container and the thermal average of  $s$ , respectively. This implies that  $\gamma_z$  fluctuates around  $(\gamma_z)_1$  above  $T_{ins}$ . In other words, the slow cooling proceeds along the horizontal line drawn in fig. 2, with increasing  $\beta$  as the temperature decreases.

In the case of superflow in a torus, the constancy of  $\gamma_z$  follows from the following argument. The uniqueness of the condensate order parameter in  $^3\text{He-A}$  requires

$$\sin \beta e^{-i\alpha} = \sin \beta' e^{-i\alpha'},$$

$$(1 + \cos \beta) e^{-i(\alpha+\gamma)} = (1 + \cos \beta') e^{-i(\alpha'+\gamma')},$$

$$(1 - \cos \beta) e^{-i(\alpha-\gamma)} = (1 - \cos \beta') e^{-i(\alpha'-\gamma')}, \quad (20)$$

where primed  $\alpha$ ,  $\beta$  and  $\gamma$  are those obtained after circling around the torus along a closed path in the torus. When  $\beta$  never passes  $\beta = \pi$  (or  $\beta = 0$ ) in the torus, eq. (20) reduces to the conditions

$$\beta = \beta', \quad \alpha - \alpha' = 2\pi n, \quad \gamma - \gamma' = 2\pi m, \quad (21)$$

where  $n$  and  $m$  are integers. In the case of helical solutions in a torus, these integers are the topological conserved quantities, implying constant  $\gamma_z$  when  $\kappa < 1$ ; when the system is cooled smoothly,  $\gamma_z$  and  $\alpha_z$  are constant. On the other hand, in the case of a long cylinder with open ends,  $\gamma_z (\equiv c)$  may relax to the value

with the minimum  $g$ , when  $P$  is fixed. The local stability of the helical solutions appear to contradict the conjectured instability of the superflow by Bhattacharyya et al. [1]. Analyzing their dipole-unlocked case, within the present framework, we have discovered peculiar features, which are quite different from those in the dipole-locked case below  $T_{\text{ins}}$ . First of all,  $\Delta(s) > 0$  for all  $\beta$  in the dipole-unlocked cases, implying the existence of a stationary solution with arbitrary  $\beta$ . Furthermore, we find  $D < 0$  for all  $\beta$ ; none of these solutions are stable. Therefore, we believe that their dipole-unlocked case does show intrinsic instability of any texture with uniform superflow, unlike the dipole-locked case below  $T_{\text{ins}}$ .

As already pointed out by Cross and Liu [5], the correct analysis of the orbital dynamics does not show the instability of Hall and Hook [6] nor the existence of the orbital solitary wave.

After completing this work we have received a preprint [7] from A.L. Fetter, who has shown the stabil-

ity of the helical texture below  $T_{\text{ins}}$ , although his analysis is limited to the vicinity  $\kappa = 1$ .

The present work is supported by the National Science Foundation under Grant Number DMR76-21032.

#### References

- [1] P. Bhattacharyya, T.L. Ho and N.D. Mermin, Phys. Rev. Lett. 39 (1977) 1290.
- [2] N.D. Mermin and T.L. Ho, Phys. Rev. Lett. 36 (1976) 594.
- [3] M.C. Cross, J. Low Temp. Phys. 21 (1975) 525.
- [4] M.C. Cross and P.W. Anderson, Proc. 14th Intern. Conf. on Low temperature physics (Otaniemi, Finland, 1975), eds. M. Krusius and M. Vuorio (North-Holland, Amsterdam, 1975) Vol. 1, p. 29.
- [5] M.C. Cross and M. Liu, J. Phys. C 11 (1978) 1795.
- [6] J.R. Hook and H. Hall, preprint.
- [7] A.L. Fetter, Phys. Rev. Lett. 40 (1978) 1656.